

1 **Abstract**

2 *Plasmodium falciparum* is a protozoan parasite that causes the most severe form of human
 3 malaria. Four other *Plasmodium* species can also infect humans – *P. vivax*, *P. ovale*, *P.*
 4 *malariae* and *P. knowlesi* – but *P. falciparum* is the most prevalent *Plasmodium* species in
 5 the African region, where 90% of all malaria occurs, and it is this species that causes the
 6 great majority of malaria deaths. These were reported at 438,000 in 2015 (1) from an
 7 estimated 214 million cases; importantly, however, figures for the global burden of malaria
 8 tend to have wide margins of error due to poor and inaccurate reporting (2-4). In this
 9 Perspective, the unique features of the *P. falciparum* parasite are highlighted and current
 10 issues surrounding the control and treatment of this major human pathogen are discussed.

11 **What is special about Plasmodium falciparum?**

12 All *Plasmodium* parasites share unique and fascinating biological features, enabling them to
 13 invade, colonise, replicate and persist in diverse host environments. They have a complex
 14 and highly-evolved lifecycle that requires both an insect vector and a vertebrate host (fig 1A),
 15 and their cell and molecular biology is highly unusual. *Plasmodium* belongs to an early-
 16 diverging lineage of eukaryotes, the Apicomplexan phylum, which evolved from a free-living
 17 algal ancestor into an obligate intracellular parasite (5): the resultant cells carry a relic plastid
 18 (6) as well as other special organelles that facilitate invasion of host cells (7) (fig 1B). Inside
 19 these cells they grow via various syncytial modes of cell division rather than conventional
 20 binary fission (8). *Plasmodium* parasites are widespread throughout the animal kingdom but
 21 tend to be highly specialised for particular hosts – avian, reptilian, mammalian, etc.: *P.*
 22 *falciparum* infects only humans and great apes (9, 10), and although its basic biology shares
 23 all the above characteristics, it also has additional features that can cause unique and
 24 severe pathology in humans. The case fatality rate of falciparum malaria is ~0.3-0.45%, but
 25 in a subset of severe malaria cases it can exceed 20% (11) (table 1).

26
 27 **Table 1: Manifestations of severe falciparum malaria**

Clinical manifestation	Frequency		Prognostic of poor outcome?		Linked laboratory indices
	Children	Adults	Children	Adults	
Cerebral coma or impaired consciousness	+++	++	+++	+++	Parasite sequestration in brain
Repeated convulsions	+++	+	+	++	Parasite sequestration in brain, Hypoglycaemia
Prostration	+++	+++	+	+	
Respiratory distress	+++	++	+++	+++	Metabolic acidosis/Hyperlactataemia, Severe anaemia
Pregnancy malaria	-	+++	-	+++	Parasite sequestration in placenta, Hypoglycaemia

29 Table shows a non-exhaustive list of key disease features in severe falciparum malaria.
 30 Adapted from data in references (4) and (11).
 31

32 Firstly, in the human-pathogenic stage of its lifecycle, which consists of growth inside
33 erythrocytes (fig 1A), *P. falciparum* can infect erythrocytes of all ages. This distinguishes it
34 from the other major human malaria species, *P. vivax*, which is restricted to rare immature
35 erythrocytes called reticulocytes. Accordingly, whereas *P. vivax* growth is limited by the
36 scarcity of reticulocytes, *P. falciparum* can swiftly reach parasitaemias of 10-20%, and each
37 infected cell can produce ~20-30 new parasites every 48 hours. This capacity to reach very
38 high parasitaemias exacerbates malaria pathologies such as severe anaemia, metabolic
39 acidosis and respiratory distress (11, 12) (table 1).

40 Secondly, *P. falciparum* has a family of major virulence genes called *var* genes which
41 are unique to this parasite and its close relatives (ape malaria parasites in the '*Laverania*'
42 subgenus (13)). These virulence factors play key roles in other lethal pathologies, such as
43 cerebral malaria and pregnancy malaria (14) (table 1). *Var* genes encode an adhesive
44 protein, 'PfEMP1', which is exported and expressed on the surface of infected erythrocytes,
45 allowing the cells to be sequestered in capillaries as they mature. Thus they avoid clearance
46 by the spleen, but sequestered cells obstruct blood flow and cause inflammatory responses
47 that are particularly harmful in vessels of the brain or placenta (15). Most other malaria
48 parasites do not adhere in this way and do not cause cerebral comas or pregnancy
49 complications. Furthermore, the *var* gene family is variably expressed, giving rise to
50 antigenic variation in PfEMP1, and hence immune evasion (16), which is one reason why
51 sterile immunity to falciparum malaria is rarely achieved in humans.

52 A third unusual feature of *P. falciparum* is its extremely biased genome, the
53 implications of which are not yet understood. At 81% A/T, this is one of the most biased
54 genomes ever sequenced (17). Not all human malaria parasites share this bias – the *P.*
55 *vivax* genome is only ~58% A/T – and although elegant studies have recently elucidated
56 *how* the bias is maintained at a molecular level (18), they have not established *why*. It may
57 be that A/T-rich DNA favours permissive transcription (19) or rapid DNA replication (20, 21)
58 – both signature features of *P. falciparum*. Certainly, this genome bias presents a severe
59 challenge to biologists in sequencing, cloning, expressing and working with *P. falciparum*
60 genes.

61 **Current issues in *P. falciparum* biology**

62 Hot topics in *P. falciparum* biology range from the molecular to the epidemiological. On the
63 molecular and cellular level, the parasite has unusual basic biology that is both academically
64 interesting and medically important. Understanding the parasite's unique features will help
65 scientists to focus on new targets for antimalarial drugs and vaccines. These features
66 include the unusual genome mentioned above; the unusual cell cycles (8)(fig 1A); the
67 biochemical specialisations for an intracellular lifecycle (22); and the invasion pathways (7),

68 transport pathways (23) and capacities for host-cell remodelling (24, 25) that have evolved to
69 facilitate life inside anucleate erythrocytes. Interestingly, a recent screen for genes that are
70 essential for growth in the rodent malaria parasite *P. berghei* revealed an unprecedentedly
71 high proportion of indispensable genes, which was extrapolated to be similarly true for *P.*
72 *falciparum* (26). This raises conceptual questions about reductive specialisation for an
73 intracellular parasitic lifestyle and importantly it also raises the prospect of an abundance of
74 genetically-essential targets for antimalarial drugs.

75 Moving to the epidemiological level, *falciparum* malaria has historically been a major
76 scourge of humans throughout the tropics and subtropics, and attempts to control the
77 disease have a long and complex history. Excitingly and controversially, the prospect of
78 global malaria eradication has recently returned to the fore (27), after the failure of the first in
79 'WHO Global Malaria Eradication Programme' in the mid-20th century. The original
80 programme was built upon considerable success in eliminating the disease from Europe and
81 North America in the early 1900s via large-scale environmental insecticide treatment which
82 targeted the mosquito vector, together with drug treatment of malaria cases in humans.
83 Reasons for its ultimate failure included the development of mosquito resistance to the
84 insecticide DDT and parasite resistance to the antimalarial chloroquine, as well as the more
85 challenging dynamics of disease transmission in hyperendemic tropical areas. **Figure 1C**
86 illustrates the subsequent rebound in the global burden of malaria. Encouragingly, however,
87 more modern interventions have reduced this burden once again, with a drop of ~40% in
88 malaria in Africa over the past 15 years, attributed primarily to the use of insecticide-treated
89 bednets (28, 29).

90 Whether or not malaria can actually be eradicated with current tools remains a topic
91 of debate (30, 31), and some of these tools are now increasingly threatened, as discussed
92 below. There is a clear historical trend for disease resurgence when control measures fail or
93 when funding to sustain them fails (not only malaria (**fig 1C**) but other parasitic diseases
94 such as sleeping sickness have illustrated this (32)). Nevertheless, striking successes have
95 already been achieved in eliminating malaria from island nations such as Sri Lanka (33), as
96 well as non-island nations on the margins of transmission zones, such as Morocco and
97 Kyrgyzstan. Others including China and Malaysia, benefitting from regional cross-border
98 collaboration, are close to the elimination goal (34).

99 **Current challenges in *P. falciparum* biology**

100 Key challenges in the *P. falciparum* field range from basic science to real-world intervention.
101 At the level of basic science, the unusual biology of this parasite makes it challenging to
102 work with, although it remains one of only two human malaria parasites that can be grown in
103 laboratory culture at all (35) (the other is the zoonotic macaque parasite *P. knowlesi* (36)).

104 The ability to culture *P. falciparum* in human erythrocytes makes genetic experiments
105 feasible (37), albeit painfully inefficient when compared to model systems. Nevertheless, in
106 the past decade great advances have been made in developing genetic tools for gene
107 tagging, gene knockouts, knockdowns, inducible approaches and gene editing (38), and the
108 scope for further improvement remains substantial. Collaborative efforts to sequence
109 hundreds of *P. falciparum* strains from around the world are fast revealing the genetic
110 diversity of the species (39), but challenges remain in efficiently adapting field strains to *in*
111 *vitro* culture (40) and there are persistent concerns about the relevance of laboratory
112 experiments conducted exclusively in strains that have been in culture for decades.

113 Meanwhile, major challenges persist for *P. falciparum* control in the real world. Drug
114 resistant parasites (as well as insecticide-resistant mosquito vectors) are a recurring
115 problem, as are sufficiently accurate and sensitive diagnostics, while the gold-standard
116 disease-prevention tool of a vaccine against *P. falciparum* remains elusive despite decades
117 of scientific effort.

118 *P. falciparum* parasites have historically developed resistance to every antimalarial
119 deployed (fig 1C). Current first-line treatments are all based on artemisinin derivatives,
120 which are highly effective but very short-lived in the bloodstream. Therefore they are always
121 supplied with a second longer-lasting antimalarial as a combination therapy or 'ACT'.
122 Resistance to ACTs is now found in much of the greater Mekong region (41, 42). As yet,
123 there is no strong evidence that resistance has spread from Asia to Africa, where it would be
124 particularly devastating, but this has previously happened with antimalarials such as
125 chloroquine and antifolates, and the ever-increasing global movement of people makes the
126 transport of resistant parasites very likely. The current picture is complicated by the unusual
127 nature of artemisinin resistance: a phenotype of 'delayed parasite clearance' in which
128 parasites are cleared only slowly from the blood, and may go 'dormant' to survive the brief
129 period of drug exposure before recrudescing (41, 43). This phenotype is difficult to measure
130 *in vitro*, its genetic basis is only partially understood (44, 45), and it may be dependent on
131 the genetic background of the parasite, perhaps explaining why it has developed in Asian
132 but not yet in African strains (46, 47). As highlighted below, it will be imperative to preserve
133 the effectiveness of the ACT antimalarials for as long as possible.

134 Developing an effective vaccine remains a huge challenge owing to the parasite's
135 antigenic complexity, antigenic redundancy and capacity for antigenic variation (48). In
136 2018, the first ever vaccine for falciparum malaria, Mosquirix™, will begin to be supplied in
137 three African countries, Ghana, Kenya and Malawi, supported by the WHO 'Malaria Vaccine
138 Implementation Programme'. However, this programme remains exploratory and the
139 vaccine is unlikely to be a game-changer in global malaria control because it does not offer

140 sterile or long-term protection. Mosquirix™ features an epitope from the invading
141 ‘sporozoite’ stage of the parasite (fig 1A), and thus targets the pre-erythrocytic parasite
142 stages in order to stimulate an immune response pre-empting symptomatic blood-stage
143 malaria. Unfortunately, Phase-3 clinical trials revealed that the vaccine offered only ~30%
144 protection, waning rapidly over a four-year period (49). Deployment in young children across
145 Africa might still prevent millions of severe malaria episodes and deaths, but this must be
146 weighed against concerns about cost, uptake, impact on other malaria control interventions,
147 and the potential risk of shifting severe disease to older age groups (50).

148 Finally, in order to prevent malaria transmission it is vital to detect and then treat *P.*
149 *falciparum* infections accurately, even when asymptomatic, because they may nevertheless
150 still be transmitted by mosquitoes (51). People who have been repeatedly exposed tend to
151 develop functional – albeit non-sterile – immunity to the parasite, suppressing infections to a
152 level that causes few symptoms. These can be difficult to detect (because asymptomatic
153 people do not seek treatment) and if the parasitaemia is very low they can also be difficult to
154 diagnose without sensitive PCR-based tests or expert microscopy. Field diagnosis is often
155 limited to antibody-based ‘rapid diagnostic tests’ (52), which frequently have lower
156 sensitivity.

157 **Future perspectives on *P. falciparum***

158 Arguably the most urgent current issue in the *P. falciparum* field is the threat now posed by
159 artemisinin-resistant parasites. The community must work to understand the underlying
160 biology of resistance, develop and deploy the right assays for it in the field – genetic,
161 phenotypic or a combination of both – and thus track its spread across the malaria-endemic
162 world. Retrospective studies have traced the emergence and spread of chloroquine
163 resistance in the mid-1900s (53), but for the first time we now have the capacity to do this in
164 real time, putting in place proactive interventions. Indeed, the genetic basis of artemisinin
165 resistance was at least partially elucidated almost as it emerged, via a massive multicentre
166 effort to sequence parasites and perform genome-wide association studies (44). It may be
167 possible to prevent, or at least impede, the spread or *de novo* emergence of artemisinin-
168 resistant parasites in Africa via in-depth surveillance, preventing the use of artemisinin
169 monotherapy and using the right partner drugs in ACTs (since partner drugs are also at risk
170 of resistance, invalidating the ACT approach (54)).

171 In parallel with this effort, since artemisinin will inevitably be lost sooner or later as an
172 effective first-line drug, it is crucial to develop new drugs with different modes of action, and
173 to improve their transit through the drug development pipeline (55). Product Development
174 Partnerships such as the Medicines for Malaria Venture (MMV) are key players here.

175 Finally, returning to the eradication agenda, there are strong advocates for an
176 audacious plan which was backed by the WHO in 2015 to halt the spread of artemisinin
177 resistance by entirely eliminating *P. falciparum* from the Mekong region, where resistant
178 parasites currently reside (56). This would probably require the unprecedented use of mass
179 drug administration in complete populations – a logistical and ethical challenge – but the
180 concept merits serious consideration if the WHO target of reducing malaria cases and
181 deaths by 90% by 2030 is to be met. If successful, it could set a template for other regional
182 elimination programmes.

183 *P. falciparum* is a fascinating and sophisticated parasite that has co-evolved with
184 humans for thousands of years, shaping human genetics (57) and remaining a major public
185 health problem to this day. There has never been a better moment for a concerted effort at
186 the elimination, and eventually the global eradication, of this parasite.

187

188 **Summary points**

- 189 • *Plasmodium falciparum* is responsible for most of the global burden of death from
190 malaria – approximately half a million per annum.
- 191 • The *P. falciparum* parasite is an early-diverging eukaryote with many unusual and
192 interesting biological features.
- 193 • Studying this parasite in the laboratory is challenging but great advances have been
194 made in recent decades.
- 195 • Control of falciparum malaria has improved greatly in the past 15 years but is
196 threatened by the repeated emergence of drug resistant parasites.

197

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353

354 **Figure legend**

355 **Fig. 1: *P. falciparum* lifecycle, parasite structure and disease-control timeline**

- 356 A) Schematic showing the lifecycle of *P. falciparum*. Approximate parasite numbers shown
357 at each stage highlight the severe bottlenecks and massive expansions at various stages.
358 In the mosquito vector the sexual cycle occurs: pre-gametes called gametocytes are
359 taken up in a blood meal from an infected human; these mature into gametes, mate and
360 form a motile zygote called an ookinete which crosses the gut wall and encysts to form an
361 oocyst. In the oocyst, asexual replication occurs and sporozoites are released to migrate
362 to the salivary glands, whence they are injected into another human host during a
363 mosquito bite. Sporozoites migrate from the bite site to the liver, where they multiply
364 asexually inside hepatocytes over a period of ~7 days and then release merozoites which
365 infect erythrocytes. In erythrocytes, 48-hour cycles of asexual replication, cell lysis and
366 reinvasion occur, causing all the symptoms of malaria. A small subset of these parasites
367 differentiates into gametocytes ready for mosquito transmission.
- 368 B) Structure and organelles of *P. falciparum*. The apical complex that facilitates host cell
369 invasion includes rhoptries, micronemes and dense granules, all containing proteins that
370 are released during host cell invasion. The merozoite surface is densely coated with
371 proteins that aid host cell attachment and are cleaved and shed during invasion. The two
372 endosymbiont-derived organelles, mitochondrion and plastid, are also shown.
- 373 C) Timeline showing malaria control interventions and the global burden of malaria deaths
374 from 1990 to 2015 (+/- 95% confidence intervals). Data are from the Global Burden of
375 Disease study (58), which records data from 1990 onwards; comparable global data prior
376 to 1990 are lacking.

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